

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**Form 8-K**

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**CURRENT REPORT  
Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): September 5, 2019**

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**WisdomTree Investments, Inc.**

(Exact name of registrant as specified in its charter)

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**Delaware**  
(State or other jurisdiction  
of incorporation)

**001-10932**  
Commission  
File Number:

**13-3487784**  
(IRS Employer  
Identification No.)

**245 Park Avenue**  
**35th Floor**  
**New York, NY 10167**  
(Address of principal executive offices, including zip code)

**(212) 801-2080**  
(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Exchange Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.01 par value	WETF	The NASDAQ Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers**

The Board of Directors of WisdomTree Investments, Inc. (the “Company”) appointed R. Jarrett Lilien to serve as President and Chief Operating Officer of the Company, effective September 5, 2019. Mr. Lilien previously served as the Company’s Executive Vice President and Head of Emerging Technologies since November 2017 and as a member of the Board of Directors from November 2008 to December 2017.

In connection with Mr. Lilien’s appointment, Jonathan Steinberg, the Company’s founder, will continue in his role as the Company’s Chief Executive Officer. In addition, Stuart Bell, who previously served as the Company’s Executive Vice President and Chief Operating Officer since January 2018, was appointed Executive Vice President and Chief Operating Officer of WisdomTree Asset Management, Inc., the Company’s U.S. based investment adviser subsidiary.

The compensation arrangements for Messrs. Lilien, Steinberg and Bell will remain unchanged.

A copy of the press release containing information about these executive officer changes is being furnished as Exhibit 99.1 to this Report on Form 8-K and is incorporated herein by reference.

**Item 9.01. Financial Statements and Exhibits**

(d) Exhibits:

Exhibit 99.1 [Press Release, dated September 11, 2019](#)

Exhibit 104 Cover Page Interactive Data File (embedded within the Inline XBRL document).

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WisdomTree Investments, Inc.

Date: September 11, 2019

By: /s/ Marci Frankenthaler

Marci Frankenthaler

Executive Vice President, Chief Legal Officer and Secretary



### **WisdomTree Names Jarrett Lilien as President and Chief Operating Officer**

*Former E\*TRADE President and Chief Operating Officer to Oversee WisdomTree's Operating Units and Execution of Growth Strategy*

New York, NY – (GlobeNewswire) – September 11, 2019 – WisdomTree Investments, Inc. (NASDAQ: WETF), an exchange-traded fund (“ETF”) and exchange-traded product (“ETP”) sponsor and asset manager, today announced the appointment of Jarrett Lilien as President and Chief Operating Officer, effective September 5th. Jonathan Steinberg, WisdomTree’s founder, Chief Executive Officer and President, will serve as WisdomTree’s Chief Executive Officer from that date forward, and Mr. Lilien will report directly to Mr. Steinberg.

Mr. Lilien’s distinguished career spans traditional and online brokerage and banking as well as venture investing across the financial services industry, with extensive experience in the United States, Europe and Asia. He served on WisdomTree’s Board of Directors from November 2008 until he stepped down in December 2017, when he was appointed as WisdomTree’s Executive Vice President and Head of Emerging Technologies.

Mr. Lilien said, “I’m excited about the opportunity to help drive continued innovation and strong execution at WisdomTree, leveraging the operating expertise I’ve honed at various financial institutions throughout my career. WisdomTree is poised for accelerated growth and is uniquely positioned within one of the fastest growing segments of the financial services industry.”

Mr. Lilien has more than 30 years of leadership experience in the financial services industry. He has built industry-transforming businesses utilizing emerging technology and operational excellence. Distinguished by an ability to develop strategic initiatives and actively manage and execute them, he brings deep industry experience in global brokerage and banking, asset and wealth management, in both the retail and institutional markets, online and offline, and across millennial and boomer demographics. Mr. Lilien has successfully managed businesses in all phases, from early stage to later stage, and is a proven manager able to navigate complex and changing environments.

Jonathan Steinberg, WisdomTree Chief Executive Officer, said, “Jarrett is a proven leader. Since joining the Board in 2008 and the executive management team in late 2017, Jarrett has been an integral contributor to our strategy. In his new role, Jarrett will enhance the execution of our growth strategy while driving further efficiencies in the business to the benefit of our shareholders and other stakeholders.”

Before taking on an executive role with WisdomTree, Mr. Lilien was most recently the Managing Partner of Bendigo Partners, LLC, a financial services focused venture capital investing and advisory services firm he founded in 2008. From 2003 to 2008, he served as President and Chief Operating Officer of E\*TRADE Financial Corporation and earlier as President and Chief Brokerage Officer of subsidiary E\*TRADE Securities. Prior to joining E\*TRADE, Mr. Lilien spent 10 years as Chief Executive Officer of TIR (Holdings) Limited, a global institutional broker, which E\*TRADE acquired in 1999.

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Mr. Lilien currently serves as President of the Jazz Foundation of America and is on the Boards of Directors of Barton Mines Corporation and the Baryshnikov Arts Center. He previously served on the Board of Directors of ITG (NYSE: ITG), an independent execution broker and research provider, and served as interim CEO from August 2015 until January 2016. Since November 2017, Mr. Lilien also has served on the Board of Directors of AdvisorEngine Inc., an innovative financial technology company in which WisdomTree originally invested in November 2016. Mr. Lilien received his B.A. in Economics from the University of Vermont.

#### **About WisdomTree**

WisdomTree Investments, Inc., through its subsidiaries in the U.S., Europe and Canada (collectively, “WisdomTree”), is an exchange-traded fund (“ETF”) and exchange-traded product (“ETP”) sponsor and asset manager headquartered in New York. WisdomTree offers products covering equities, fixed income, currencies, commodities and alternative strategies. WisdomTree currently has approximately \$60.2 billion in assets under management globally.

WisdomTree® is the marketing name for WisdomTree Investments, Inc. and its subsidiaries worldwide.

#### **Contact Information:**

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