SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Steinberg Jonathan L				2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 380 MADISON	(First) AVENUE	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/07/2011						Officer (give title below)		(specify		
21ST FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) NEW YORK	NY	10017								X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
1. Title of Security (Instr. 3) Date (Month				tion 2A. Deemed Execution Date, if any (Month/Day/Year)		ction nstr.	4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code V		Amount	(A) or (D)	Price	(Instr. 3 and 4)				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Code (In	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (right to buy)	\$0.03	09/07/2011 ⁽¹⁾		Α			1,500,000	09/07/2011	03/16/2014	Common Stock	1,500,000	\$0.00	1,500,000	D	

Explanation of Responses:

1. This option is part of a larger option granted on March 17, 2004 to purchase 3,000,000 shares, reported on the Reporting Persons Form 3, filed on July 22, 2011. 50% of the option (1,500,000 shares) vested based on continued employment over time. The remaining 50% portion of this option (1,500,000 shares) that is the subject of this report became exercisable upon satisfaction of a performance contingency -- at such time as the issuer filed its Form 10-Q for the quarter ended June 30, 2011 that it had achieved at least \$1.00 of net income in two consequtive fiscal quarters.

/s/ Jonathan L. Steinberg

** Signature of Reporting Person

01/23/2012 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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