SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>RRE Ventures III-A, LP</u>  |   |  |  |       |  | 2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF] |  |     |   |       |  |              |   |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director X 10% Owner |   |                    |   | unor.  |
|--|---|--|--|-------|--|--|--|-----|---|-------|--|--------------|---|---|--|---|--------------------|---|--|
| (Last)   | (First)   | (Mi  | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/15/2012 |       |  |  |  |     |   |       |  |              | Officer (give title below)  |   | л  | Other (s  |                    |   |  |
| 130 EAST 59TH STREET<br>17TH FLOOR   |   |  |  |       | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |  |     |   |       |  |              |   | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |  |   |                    |   |  |
| (Street)<br>NEW YORK NY 10022  |   |  |  |       |  |  |  |     |   |       |  |              |   | Form file   | d by More  | than C  | one Reportin       | g Person  |  |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Benefici  |   |  |  |       |  |  |  |     |   |       |  |              |   | cially Ov   | /ned   |   |                    |   |  |
| Date   |   |  |  |       | te E<br>onth/Day/Year) it                                |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |     |   |       | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 ar |              |   |   | Securities<br>Beneficiall<br>Following   | Beneficially Owned<br>Following Reported  |                    | mership<br>: Direct (D)<br>lirect (I)<br>. 4)                     | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                |
|  |   |  |  |       |  |  |  |     |   | v     | Amount   |              | (A) or<br>(D)   | Price   | <ul> <li>Transaction(s)<br/>(Instr. 3 and 4)</li> </ul>  |   |                    |   | (Instr. 4)   |
| Common Stock 11/1:   |   |  |  |       |  | 5/2012   |  |     | S   |       | 14,035,3   | 323          | D   | \$5.87  | 2,088,124  |   |                    | D   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities) |   |  |  |       |  |  |  |     |   |       |  |              |   |   |  |   |                    |   |  |
| 1. Title of<br>Derivative<br>Security (Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day              | Date, | 4.<br>Transaction<br>Code (Instr.<br>8)                  |  | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | 6. Date Exerci<br>Expiration Da<br>(Month/Day/Y |       | ate  | Secu<br>Deri | 7. Title and Amou<br>Securities Underly<br>Derivative Securit<br>(Instr. 3 and 4) |   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction | e<br>s<br>Ily<br>J | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  |       | Code   | v  | (A)  | (D) | Date<br>Exercis                                 | sable | Expiration<br>Date   | Title        |   | Amount<br>or<br>Number<br>of Shares   |  | (Instr. 4)  |                    |   |  |

Explanation of Responses:

/s/ Peter M. Ziemba, Attorney-in-11/16/2012 Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.