SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Address of Reporting Person [*] NEUGER WIN J				Name and Ticker on <u>mTree Invest</u>	tments	, Inc	2. [WETF]		tionship of Reporting F all applicable) Director	er Dwner			
	(First) REE INVESTMENTS AVENUE, 21ST FLC	1	07/24/2 4. If Ame	3. Date of Earliest Transaction (Month/Day/Year) 07/24/2013 4. If Amendment, Date of Original Filed (Month/Day/Year) 07/26/2013						Officer (give title Other (specify below) E. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(Street) NEW YORK (City)	NY (State)	10017 (Zip)							Form filed by More	than One Reporti	ng Person		
		Table I - Nor	n-Derivative S	Securities Acq	uired, I	Disp	osed of, or	Benefic	cially Ow	ned			
Date			2. Transaction Date (Month/Day/Year)	Execution Date,		tion istr.	4. Securities Ad Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code V		Amount (A) or (D)		Price	(Instr. 3 and 4)		(1150.4)	
Common Stock 07/2			07/24/2013		A		6,144	A	\$0.00	6,144(1)	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Restricted stock awarded on July 24, 2013, vesting on July 24, 2014.

Remarks:

This amendment is being filed to correct the previous form's improper categorization in column 4 which stated that the shares were disposed of rather than acquired.

<u>/s/ Peter M. Ziemba, Attorney-in-</u> Fact	07/26/2013		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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