# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 1, 2019

## WisdomTree Investments, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-10932 Commission File Number: 13-3487784 (IRS Employer Identification No.)

245 Park Avenue
35th Floor
New York, NY 10167
(Address of principal executive offices, including zip code)

(212) 801-2080 (Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

| Than   |  |                      |  |  |  |  |
|--|--|----------------------|--|--|--|--|
| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:  |  |                      |  |  |  |  |
|  | ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)                |                      |  |  |  |  |
|  | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)                 |                      |  |  |  |  |
|  | Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) |                      |  |  |  |  |
|  | Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) |                      |  |  |  |  |
| Securities registered pursuant to Section 12(b) of the Exchange Act:   |  |                      |  |  |  |  |
|  | Title of each class  | Trading<br>Symbol(s) | Name of each exchange<br>on which registered |  |  |  |
|  | Common Stock, \$0.01 par value   | WETF                 | The NASDAQ Stock Market LLC                  |  |  |  |
| Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). |  |                      |  |  |  |  |
| 120-   | 2 of the Securities Exchange Act of 1934 (§240.12b-2 of thi  | s cnapter).          |  |  |  |  |
| 120  | 2 of the Securities Exchange Act of 1934 (§240.12b-2 of thi<br>Emerging growth company □               | is chapter).         |  |  |  |  |

### Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On August 1, 2019, Kurt MacAlpine notified WisdomTree Investments, Inc. (the "Company") of his intent to resign from his position as Global Head of Distribution to accept alternative employment, effective August 31, 2019. Mr. MacAlpine confirmed that his intended resignation is not related to any disagreement with the Company on any matter relating to the Company's operations, policies or practices.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WisdomTree Investments, Inc.

Date: August 6, 2019

By: /s/ Marci Frankenthaler

Marci Frankenthaler Executive Vice President, Chief Legal Officer and Secretary