
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

Form 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 24, 2021

WisdomTree Investments, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction
of incorporation)

001-10932
Commission
File Number:

13-3487784
(IRS Employer
Identification No.)

245 Park Avenue
35th Floor
New York, NY 10167
(Address of principal executive offices, including zip code)

(212) 801-2080
(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Exchange Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.01 par value	WETF	The NASDAQ Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

The Board of Directors of WisdomTree Investments, Inc. (the “Company”) appointed Bryan Edmiston as Chief Financial Officer and principal financial officer, effective June 1, 2021. Mr. Edmiston, 45, has served as Chief Accounting Officer since April 2018 and as Director – Financial Reporting and Accounting Policy since September 2016. In these roles, he has been responsible for overseeing the Company’s accounting matters, including global financial accounting and reporting, the financial control environment and the global tax function. Prior to the Company, Mr. Edmiston joined the broker-dealer subsidiary of Gleacher & Company, Inc. as a Managing Director responsible for Accounting Policy and SEC Reporting in December 2009. In July 2010, he also assumed the role of Controller of this subsidiary. From August 2011 to September 2016, he served as Controller and principal accounting officer of Gleacher. Mr. Edmiston was also a Senior Manager within the Banking & Capital Markets Assurance Practice at PricewaterhouseCoopers LLP, having been employed there from September 1997 to December 2009 while servicing a number of clients in the financial services industry. He received a B.B.A. in Accounting from Pace University and is a Certified Public Accountant.

Mr. Edmiston is not a party to any arrangement or understanding regarding his selection as Chief Financial Officer. Mr. Edmiston has no family relationships with any director, executive officer or person nominated or chosen by the Company to become a director or executive officer of the Company. Mr. Edmiston is not a party to any transaction required to be disclosed pursuant to Item 404(a) of Regulation S-K.

In connection with his appointment as Chief Financial Officer, Mr. Edmiston’s annual base salary will increase from \$250,000 to \$325,000 effective June 1, 2021. He has not entered into any material plan, contract, arrangement or amendment in connection with his appointment as Chief Financial Officer.

A copy of the press release announcing Mr. Edmiston’s appointment is included as Exhibit 99.1 to this Report on Form8-K and is incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits:

Exhibit 99.1 [Press Release, dated May 25, 2021](#)

Exhibit 104 Cover Page Interactive Data File (embedded within the Inline XBRL document).

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WisdomTree Investments, Inc.

Date: May 25, 2021

By: /s/ Marci Frankenthaler
Marci Frankenthaler
Chief Legal Officer and Secretary



WisdomTree Names Bryan Edmiston as Chief Financial Officer

Promotion Reflects Depth of Management and Operating Team

New York, NY – (GlobeNewswire) – May 25, 2021 – WisdomTree Investments, Inc. (NASDAQ: WETF), an exchange-traded product (“ETP”) sponsor and asset manager, today announced the Board of Directors appointed Bryan Edmiston as Chief Financial Officer, effective June 1, 2021.

Mr. Edmiston, 45, has served as Chief Accounting Officer since April 2018 and as Director – Financial Reporting and Accounting Policy since September 2016. In these roles, he has been responsible for overseeing WisdomTree’s accounting matters, including global financial accounting and reporting, the financial control environment and the global tax function.

“I am pleased to announce Bryan’s appointment to CFO and am confident he will continue to contribute to WisdomTree’s growth and operations in his expanded role,” said Jonathan Steinberg, WisdomTree Founder and CEO. “Bryan’s experience leading our financial accounting, reporting and tax function globally, as well as his overall knowledge of the industry, will have an immediate impact as we continue to see momentum in the core business. I am confident Bryan will provide strong leadership and is an excellent addition to our executive team.”

Mr. Edmiston said, “WisdomTree is experiencing strong growth as the company continues to invest in its people and key areas of innovation, as well as drive efficiencies in the business. As the CFO, I am excited to help us continue to drive financial performance and accelerate our growth trajectory, enhancing the company’s shareholder value.”

Prior to WisdomTree, Mr. Edmiston joined the broker-dealer subsidiary of Gleacher & Company, Inc. as a Managing Director responsible for Accounting Policy and SEC Reporting in December 2009. In July 2010, he also assumed the role of Controller of this subsidiary. From August 2011 to September 2016, he served as Controller and principal accounting officer of Gleacher. Mr. Edmiston was also a Senior Manager within the Banking & Capital Markets Assurance Practice at PricewaterhouseCoopers LLP, having been employed there from September 1997 to December 2009 while servicing a number of clients in the financial services industry. He received a B.B.A. in Accounting from Pace University and is a Certified Public Accountant.

Mr. Edmiston will succeed Amit Muni, who is leaving WisdomTree to accept alternative employment. Mr. Muni will stay on through May 31, 2021, to ensure an orderly transition.

“On behalf of our Board of Directors and the WisdomTree team, I’d like to thank Amit for his exceptional leadership, commitment and contributions throughout the last 13 years,” said Steinberg. “During his tenure, he has created tremendous value for our company and our shareholders, and I wish Amit all the best at his new opportunity.”

About WisdomTree

WisdomTree Investments, Inc. through its subsidiaries in the U.S. and Europe (collectively, “WisdomTree”), is an ETF and ETP sponsor and asset manager headquartered in New York. WisdomTree offers products



covering equity, commodity, fixed income, leveraged and inverse, currency, cryptocurrency and alternative strategies. WisdomTree currently has approximately \$74.0 billion in assets under management globally. For more information, please visit www.wisdomtree.com or follow us on Twitter [@WisdomTreeETFs](https://twitter.com/WisdomTreeETFs).

WisdomTree® is the marketing name for WisdomTree Investments, Inc. and its subsidiaries worldwide.

Contact Information:

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