SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Cosgrove Susan J</u>						2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]									ionship of Reporting F all applicable) Director		erson(s) to Issuer 10% O۱		vner
(Last)	(First)	(M	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 06/18/2019								Officer (give title below)		Other (s below)		specify
C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	, , ,				
(Street) NEW YORK NY 10167														Form file	d by More	than C	One Reportin	g Person	
(City)	(State)	(Zi	p)																
		Та	ıble I - No	n-De	rivativ	e Se	ecurities /	Acqu	uired, I	Disp	osed of	, or	Benefi	cially Ov	/ned		-1		
Date							2A. Deemed Execution Date, if any (Month/Day/Year)	ate,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
									Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock 06/1						9			Α		15,94	8	Α	\$0.00	17,909(1)			D	
			Table II -				urities Ac s, warran	•	,	•	,				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date I Expirati (Month/	on Da		7. Title and Amount Securities Underlyin Derivative Security (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transact	e Ownersl s Form: llly Direct (C or Indire g (I) (Instr.	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)
							1 1							Amount		(Instr. 4)			

Date

Exercisable

(D)

Expiration Date

Title

Explanation of Responses:

1. Includes restricted stock awards which vest as to (i) 1,961 shares on April 24, 2020 and (ii) 15,948 shares on June 18, 2020.

Remarks:

## <u>/s/ Marci Frankenthaler, Attorney-</u> in-Fact <u>06/20/2019</u>

\*\* Signature of Reporting Person Date

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code V (A)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.