SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last) (First) (Middle) (C/O WISDOMTREE INVESTMENTS, INC. 06/18/2019 06/18/2019 06/18/2019 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable) (Street) NEW YORK NY 10167 (City) (State) (Zip) 2. Transaction 2. Transaction 3. Transaction 3. Securities 4. Securities Acquired (A) or (D) 5. Amount of Securities Beervise (Instr. 3) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Securities Acquired (A) or (D) 5. Amount of Securities Beervise (Instr. 3) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 7. Transaction (D) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In original Filed (Month/Day/Year) 6. Ownership Form: Direct (D) In origin	1. Name and Address of Reporting Person * <u>NEUGER WIN J</u>						2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]									ationship of F all applicat Director		Person(s) to Issuer 10% Ov	
245 PARK AVENUE, 35TH FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Croup Filing (Check Applicable X Form filed by One Reporting Person Form filed by More than One Reporting I NEW YORK NY 10167 5. Month/Day/Year) 6. Individual or Joint/Croup Filing (Check Applicable X Form filed by More than One Reporting Person Form filed by More than One Reporting I 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction Date 3. A Deemed Execurities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Megaporte Form: Direct(D) Borivative 6. Ownership Form: Direct(D) Borivative 6. Ownership Form: Direct(D) Borivative 6. Ownership Form: Direct(D) Borivative 1. Title of Derivative Security (Instr. 3) 06/18/2019 A 15,948 A \$0,00 60,886(1) D 1. Title of Derivative Security (Instr. 3) 3. Transaction Conversion Price of Month/Day/Year) 3. A Deemed Execution Date (e.g., puts, calls, warrants, options, convertible Securities) 7. Title and Amount of Borivative Securities 8. Price of Derivative Securities 9. Number of Derivative Securities 10. Ownership Form: Direct (D) 10. Ownership Form: Direct (D) 10. Ownership Form: Direct (D) 10. Ownership Form: Direct (D)	(Last)	(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 06/18/2019										give title			specify
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Date

Exercisable

(D)

Expiration

Title

Date

Explanation of Responses:

1. Includes restricted stock award which vests as to 15,948 shares on June 18, 2020.

Remarks:

/s/ Marci Frankenthaler, Attorney-06/20/2019

in-Fact

** Signature of Reporting Person Date

or

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code v (A)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.