FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person      Schwartz Jeremy  |   |     |    |   |  | 2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [ WETF ]                        |   |  |                     |     |  |   |   |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)  Director 10% Owner   |               |   |  |   |  |
|--|---|-----|----|---|--|--|---|--|---------------------|-----|--|---|---|---|--|---------------|---|--|---|--|
| (Last) (First) (Middle)  |   |     |    |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 08/28/2019  |   |  |                     |     |  |   |   | X   | Officer (g<br>below)   |               | Other (specify below)   |  |   |  |
| C/O WISDOMTREE INVESTMENTS, INC.   |   |     |    |   |  |  |   |  |                     |     |  |   |   |   | Global Head of Research  |               |   |  |   |  |
| 245 PARK AVENUE, 35TH FLOOR  |   |     |    |   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |   |  |                     |     |  | 6. Indiv                                | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person |   |  |               |   |  |   |  |
| (Street)   |   |     |    |   |  |  |   |  |                     |     |  | ^                                       | Form filed by More than One Reporting Person  |   |  |               |   |  |   |  |
| NEW YORK   | •   |     |    |   |  |  |   |  |                     |     |  |   |   |   | 1 OIII IIIC  | a by More     | uiaii C   | ne reportin  | 91 613011   |  |
| (City)   | (State)   | (Zi | p) |   |  |  |   |  |                     |     |  |   |   |   |  |               |   |  |   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |     |    |   |  |  |   |  |                     |     |  |   |   |   |  |               |   |  |   |  |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D   |   |     |    |   | /Day/Year) if a  |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |  |                     |     |  | es Acquired (A) or [<br>tr. 3, 4 and 5) |   | or Disposed   | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)                     |               | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |     |    |   |  |  |   |  | Code                | v   | Amount   |   | (A) or<br>(D)   | Price   | (Instr. 3 and 4)   |               |   |  | (111501.4)  |  |
| Common Stock 08/28   |   |     |    |   |  | 28/2019  |   |  | P                   |     | 10,000   |   | A   | \$4.7315(1)   | 628,954(2)   |               |   | D  |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |     |    |   |  |  |   |  |                     |     |  |   |   |   |  |               |   |  |   |  |
| 1. Title of<br>Derivative<br>Security (Instr. 3)   | /e Conversion Date Execution Date, (Instr. 3) or Exercise (Month/Day/Year) if any |     |    | 4.<br>Transaction<br>Code (Instr.<br>B) |  | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | ate | 7. Title and Amo<br>Securities Under<br>Derivative Secur<br>(Instr. 3 and 4) |   | nderlying<br>ecurity  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti | e<br>s<br>lly | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |
|  |   |     |    |   | Code V   |  | (A)   | (D)  | Date<br>Exercisable |     | Expiration<br>Date   | or<br>Nu                                |   | Amount<br>or<br>Number<br>of Shares                 |  | (Instr. 4)    |   |  |   |  |

## Explanation of Responses:

- 1. The price included in Column 4 is an average weighted price. These shares were purchased in multiple transactions at prices ranging from \$4.725 to \$4.7332, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (1) of this Form 4.
- 2. Includes restricted stock awards vesting as to (i) 43,111 shares on January 25, 2020, (ii) 43,112 shares on January 25, 2021 and (iii) 34,269 shares on January 25, 2022.

## Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 08/29/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.