SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* SALERNO FRANK						2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]									ationship of F all applicat		Person(s) to Issuer 10% Ov	vner
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 06/18/2020									Officer (g below)	Officer (give title below)		Other (s below)	specify	
C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indi X					
(Street) NEW YORK NY 10167													Form file	d by More	than O	ne Reportin	g Person		
(City)	(State)	(Zi	p)																
		Ta	ble I - No	n-Der	ivative	Secu	urities	s Acq	uired, I	Disp	osed of,	, or I	Benefi	cially Ov	vned				
Date					2. Transaction Jate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(Instr. 4)
Common Stock 06/1						020 A 27,247		Α	\$0.00	264,	64,195(1)		D						
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable an Expiration Date (Month/Day/Year)			7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4)		nderlying ecurity 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti	e s illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
1	1	1										1		Amount		(Instr. 4)			

Date

Exercisable

(D)

Expiration

Title

Date

Explanation of Responses:

1. Includes restricted stock award which vests as to 27,247 shares on June 18, 2021.

Remarks:

/s/ Marci Frankenthaler, Attorney-06/19/2020

in-Fact

** Signature of Reporting Person Date

or

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code v (A)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.