SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 r Sacti n 30(h) of the I v Act of 1940

(Street) NEW YORK NY (City) (State)							1		7. Nature of
NEW YORK NY	Table I - No	on-Derivative S	ecurities Acq	uired, Disp	osed of, or Benefici	ally Ow	ned		
	(Zip)								
	10119						Form filed by More	than One Reportin	ng Person
C/O WISDOMTREE, INC.			4. If Amendment, Date of Original Filed (Month/Day/Year) 01/27/2023			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
250 WEST 34TH STREET, 3RD FLOOR							Presiden	t and COO	
(Last) (First)	(Middle)	3. Date o 01/25/20	f Earliest Transaction 023	on (Month/Day/	Year)	x	Officer (give title below)		specify
1. Name and Address of Reporting Person <sup>*</sup> Lilien R Jarrett			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>WisdomTree, Inc.</u> [ WT ]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			

### (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Beneficially Owned or Indirect (I) Beneficial Following Reported 8) (Instr. 4) Ownership Transaction(s) (Instr. 4) (A) or (D) (Instr. 3 and 4) v Price Code Amount Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed Execution Date 6. Date Exercisable and Expiration Date 8. Price of Derivative 1 Title of 3. Transaction 5. Number of 7. Title and Amount of 9. Number of 10 11. Nature Derivative Conversion Date Transaction Derivative Securities Underlying derivative Ownership of Indirect Security Security (Instr. or Exercise (Month/Day/Year if any Code (Instr. Securities (Month/Day/Year) Derivative Security (Instr. Securities Form: Beneficial (Month/Day/Year) Direct (D) 3) Price of 8) Acquired (A) or Disposed of (D) 3 and 4) (Instr. 5) Beneficially Ownership Derivative Owned Following or Indirect (I) (Instr. 4) (Instr. 4) (Instr. 3, 4 and Security **5**) Reported Transaction(s Amount or

### (Instr. 4) Number of Date Expiration Code v (A) (D) Exercisable Date Title Shares Performance Commor Based Restricted (1) 01/25/2023 168,686 (2) 168,686 \$0.0000 168,686 D A Stock Stock Units

### Explanation of Responses:

1. Each performance-based restricted stock unit ("PRSU") represents the right to receive, on the vesting date, one share of common stock for each such unit that vests.

2. These PRSUs are scheduled to vest on January 25, 2026. The number of shares of Common Stock to be issued will range between 0% and 200% of the target number of PRSUs indicated above. This Amendment to Form 4 is being filed to update the target number of PRSUs to reflect the grant date fair market value of the PRSUs as determined by an independent valuation consultant.

/s/ Marci Frankenthaler, Attorney-03/03/2023

in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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