SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*					2. Issuer Name and Ticker or Trading Symbol WisdomTree, Inc. [WT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Ziemba Peter M													Director			10% Ov	vner	
(Last)				3. Date of Earliest Transaction (Month/Day/Year) 01/25/2023								Officer (g below)	ive title		Other (s below)	specify		
250 WEST 34TH STREET, 3RD FLOOR													Chie	f Admini	strati	ve Officer		
C/O WISDOMTREE, INC.					4. If Amendment, Date of Original Filed (Month/Day/Year) 01/27/2023								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)				01/2//2023									,	•	0	- D		
NEW YORK	NY	1	0119										Form filed by More tha		than C	ле керопіг	ng Person	
(City)	(State)) (2	Zip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) Date (Month/						2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount Securities Beneficiall Following	y Owned Reported	Form	nership : Direct (D) lirect (I) : 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount (A) or (D)		Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative	2. Conversion	3. Transaction	3A. Deemed	4. T	ransaction	5. Number of 6. Date Exercisable and 7. Title and Parivative Expiration Date Securities I									11. Nature			

Der	vivative curity (Instr. 3)	Conversion or Exercise Price of Derivative Security		Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Bas	formance ed Restricted ck Units	(1)	01/25/2023		A		29,372		(2)	(2)	Common Stock	29,372	\$0.0000	29,372	D		

Explanation of Responses:

1. Each performance-based restricted stock unit ("PRSU") represents the right to receive, on the vesting date, one share of common stock for each such unit that vests.

2. These PRSUs are scheduled to vest on January 25, 2026. The number of shares of Common Stock to be issued will range between 0% and 200% of the target number of PRSUs indicated above. This Amendment to Form 4 is being filed to update the target number of PRSUs to reflect the grant date fair market value of the PRSUs as determined by an independent valuation consultant.

/s/ Marci Frankenthaler, Attorneyin-Fact 03/03/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.