FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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| Estimated average burder | n         |
| hours per response:      | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defense<br>10b5-1(c). See Ins |                       |          |   |           |   |  |  |  |
|---|-----------------------|----------|---|-----------|---|--|--|--|
| 1. Name and Address Steinberg Jons        | s of Reporting Person | ·        | 2. Issuer Name and Ticker or Trading Symbol WisdomTree, Inc. [ WT ] |           | tionship of Reporting Pers<br>all applicable)<br>Director | on(s) to Issuer  |  |  |
| l l                                       |                       | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 01/25/2024         | X         | Officer (give title below)  Chief Executive               | Other (specify below)  |  |  |
| (Street) NEW YORK                         | NY                    | 10119    | 4. If Amendment, Date of Original Filed (Month/Day/Year) 01/29/2024 | 6. Indivi | Form filed by One Repo                                    | Group Filing (Check Applicable Line) by One Reporting Person by More than One Reporting Person |  |  |
| (City)                                    | (State)               | (Zip)    |   |           |   |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transac<br>Code (In<br>8) |  | 4. Securities Ad<br>Disposed Of (D |               |       | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--|---|---------------------------------|--|------------------------------------|---------------|-------|--|---|-------------------------|
|                                 |  |   | Code V                          |  | Amount                             | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)                                     |   | (Instr. 4)              |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |            | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (In<br>8) |   | Derivative<br>Securities<br>Acquired<br>Disposed | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |                     | Date Securities Underlying y/Year) Derivative Security (Instr. |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Form:<br>Direct (D)          | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|------------|---|---------------------------------|---|--|--|---------------------|--|-----------------|---|--|------------------------------|--|--|
|   |   |            |   | Code                            | v | (A)  | (D)  | Date<br>Exercisable | Expiration<br>Date   | Title           | Amount or<br>Number of<br>Shares                    |  | Transaction(s)<br>(Instr. 4) |  |  |
| Performance<br>Based Restricted<br>Stock Units      | (1)   | 01/25/2024 |   | A                               |   | 222,842  |  | (2)                 | (2)  | Common<br>Stock | 222,842   | \$0.0000   | 222,842                      | D  |  |

### **Explanation of Responses:**

- 1. Each performance-based restricted stock unit represents the right to receive, on the vesting date, one share of common stock for each such unit that vests.
- 2. These PRSUs are scheduled to vest on January 25, 2027. The number of shares of Common Stock to be issued will range between 0% and 200% of the target number of PRSUs indicated above. This Amendment to Form 4 is being filed to update the target number of PRSUs to reflect the grant date fair market value of the PRSUs as determined by an independent valuation consultant.

/s/ Marci Frankenthaler, Attorneyin-Fact 02/23/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.