SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Steinberg Jonathan L								,	– x	Director	10% Owner				
(Last) (F	ïrst)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 01/25/2012							Officer (give title below)	Other (below)	specify		
380 MADISON AVE	NUE										Chief Exec	utive Officer			
21ST FLOOR			4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)										X	Form filed by One I Form filed by More		na Person		
NEW YORK N	Y	10017											9		
(City) (S	itate)	(Zip)													
		Table I - No	n-Derivativ	ve Secu	rities Acq	uired, I	Disp	osed of, or	Benefi	cially Ow	ned				
Date			2. Transactio Date (Month/Day/Y	Year) if any	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported		7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock			01/25/20	12		Α		17,276(1)	A	\$0.00	3,745,493	D ⁽²⁾			
Common Stock											798	Ι	Owned by Spouse		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Restricted stock award which vests as to all 17,276 shares on January 25, 2013.

2. Includes (i) restricted stock award which vests as to 17,276 shares on January 25, 2013, and (ii) restricted stock award which vests as to 18,191 shares on January 27, 2012.

/s/ Peter M. Ziemba, Attorney-in- Fact	<u>01/30/2012</u>		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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