SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Lilien R Jarrett					Wis	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]										ionship of Reporting Pe all applicable) Director		(s) to Issuer 10% Ov	vner
(Last)	(First)	(M	iddle)		3. Date of Earliest Transaction (Month/Day/Year) 10/30/2013								Officer (give title below)			Other (specify below)			
1200 BROADWAY SUITE 8B					4. If Amendment, Date of Original Filed (Month/Day/Year)									ndividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) NEW YORK NY 10001															Form file	d by More	than C	one Reportin	g Person
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					e nth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)					5. Amount Securities Beneficiall Following Transactio	y Owned Reported	Form	/nership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1130.4)
Common Stock 10/3					30/2013				S		70,16	7	D	<b>\$14.3</b> <sup>(1)</sup>	165,000			D	
Common Stock															40,705 <sup>(2)</sup>			I	By Bendigo Ventures
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution Date, ity (Instr. 3) or Exercise (Month/Day/Year) if any				ransaction Derivative ode (Instr. Securities		ive ies ed (A) osed of	Expirati (Month/	ion Da	ear)	or		nderlying ecurity 4) Amount or	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported Transacti (Instr. 4)		e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v l	(A) (D)				Expiration Date			Number of Shares		1				

## Explanation of Responses:

1. The price included in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$14.26 to \$14.43, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4.

2. Restricted stock awarded on July 27, 2011 and vesting as to 13,568 shares on each of July 27, 2012 and 2013 and as to 13,569 shares on July 14, 2014.

/s/ Peter M. Ziemba, Attorney-in-<u>Fact</u> <u>10/31/2013</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.