SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] BARTON GREGORY E				2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]						tionship of Reporting F all applicable) Director	Person(s) to Issuer 10% O				
(Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC.			3. Date 01/22	of Earliest Transaction/2014	on (Montł	n/Day/	Year)	x	Officer (give title below) Chief Oper	Other (specify below) ting Officer					
245 PARK AVENUE, 35TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) NEW YORK (City)	NY (State)	10167 (Zip)							X	X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Table I - No	n-Derivative	Securities Acq	uired, I	Disp	osed of, or	Benefi	cially Ow	ned					
Date			2. Transaction Date (Month/Day/Yea	Execution Date,		Transaction Dispo Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1150.4)				
Common Stock			01/22/2014		F		1.601 ⁽¹⁾	D	\$0.00	291.310	D				

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Surrender of common stock to issuer upon vesting of restricted stock award to cover withholding taxes.

/s/ Peter M. Ziemba, Attorney-in-Fact 01/24/2014

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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