SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Lavine Bruce I						2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
						3. Date of Earliest Transaction (Month/Day/Year)									Х	Director			10% O	
(Last)	(First)	(M		02/13/2014									Х	Officer (g below)		Other (below)	specify			
C/O WISDOMTREE INVESTMENTS, INC.																Vice Chairman				
245 PARK AVENUE, 35TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
															X Form filed by One Reporting Person					
(Street)																Form file	d by More	e than C	Dne Reporti	ng Person
NEW YORK	NY	10	0167																	
(City)	(State)	(Zi	ip)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					Date		2A. Deemed Execution Date, f any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				Beneficially Following Re		Owned Owned Owned Owned Owned Owned			7. Nature of Indirect Beneficial Ownership
									Code	v			(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock																359,567			D	
Common Stock					13/2014	ŀ			S	s 10,00		0	D	\$15.	.5	109,686		Ι		By 2012 Bruce Lavine Irrevocable Trust
Common Stock				02/3	02/13/2014				S		9,686		D	\$15.	.1	100,000		Ι		By 2012 Bruce Lavine Irrevocable Trust
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
	(e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	str. 3) Price of Derivative Security 2. 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Di if any (Month/Day/Year)			ate, Transaction Code (Instr.					6. Date Exerc Expiration Da (Month/Day/Y		ate	Sec Der	7. Title and Amo Securities Unde Derivative Secur (Instr. 3 and 4)		•	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followin Reporter	ve es ally ng d	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A) (D)		Date Exercisable		Expiration Date	Title	e	Amoun or Numbe of Shar	mber		Transaction(s) (Instr. 4)			

Explanation of Responses:

/s/ Peter M. Ziemba, Attorney-in-02/14/2014 Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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