SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Steinberg Jonathan L						2. Issuer Name <b>and</b> Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]							5. Relationship of Reporting Perso (Check all applicable)					
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 01/14/2015							X X	Director Officer (g below)	, 	10% Owner Other (specify below)			
C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR													Chief Executive Officer					
					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	, , ,					
(Street) NEW YORK NY 10167													Form filed by More than One Reporting Person					
(City)	(State)	(Zi	ip)															
		Ta	able I - No	n-Deri	vative S	ecurities Acq	uired,	Disp	osed of,	, or E	Benefi	cially Ow	ned					
Date					saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficially Following Re		6. Owner Form: D or Indire (Instr. 4)	irect (D) ect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v			(A) or (D)	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>						
Common Stock 01/					4/2015		F		21,641(1)		D	\$0.00	5,612,665(2)		Γ	)		
Common Stock													79	98	1	I	By Spouse	
						urities Acqui s, warrants, c	,						ed					
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date,		, [ .	. 5. Number of Transaction Derivative Code (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security		derlying	8. Price of Derivative Security Security		e Ov	). wnership orm:	11. Nature of Indirect Beneficial		

, , , , , , , , , , , , , , , , , , ,	Conversion or Exercise Price of Derivative Security	 Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

2. Includes restricted stock awards which vest as to (i) 75,421 shares on each of January 22, 2015 and 2016 and (ii) 51,772 shares on each of January 14, 2016 and 2017.

Remarks:

## /s/ Marci Frankenthaler, Attorneyin-Fact 01/16/2015

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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