SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Muni Amit</u> | | | | Name and Ticker or <u>mTree Investr</u> | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | |
|--|--|---------------------|-------------------|---|----------------|---------------|---------------|--|------------------|-----------------------|--|--|--|
| (Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC. | | | 3. Date o 01/22/2 | of Earliest Transactior 2015 | n (Month/Day/ | Year) | x | Officer (give title below) | Other | Other (specify below) | | | |
| 245 PARK AVI (Street) NEW YORK (City) | ENUE, 35TH F | LOOR 10167 (Zip) | 4. If Ame | endment, Date of Orig | inal Filed (Mo | nth/Day/Year) | 6. Indiv X | idual or Joint/Group Fi Form filed by One F Form filed by More | Reporting Person | , | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| 1 | | | | 1 | | | | 1 | 1 | 1 | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (In | Transaction Disposed Of (I Code (Instr. | | | | Securities | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|----------|--|----------|---------------|--------|------------------|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | (Instr. 3 and 4) | | (instr. 4) |
| Common Stock | 01/22/2015 | | F | | 3,332(1) | D | \$0.00 | 511,829(2) | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (Ir 8) | | 5. Num Derivat Securit Acquire or Disp (D) (Ins and 5) | ive ies ed (A) osed of | 6. Date Exerc Expiration Da (Month/Day/Y | ate | 7. Title and A Securities U Derivative Se (Instr. 3 and | nderlying ecurity | 8. Price of Derivative Security (Instr. 5) | Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
|--|--|--|---|---------------------------------|---|--|---------------------------------|--|--------------------|--|-------------------------------------|---|------------------------------|--|---------------------------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |

Explanation of Responses:

1. Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

2. Includes restricted stock awards which vest as to (i) 9,011 shares on January 22, 2016, (ii) 37,500 shares on each of January 25, 2015 and 2016, (iii) 25,000 shares on January 27, 2015, (iv) 14,336 shares on January 14, 2016 and (v) 14,338 shares on January 14, 2017.

Remarks:

/s/ Marci Frankenthaler, Attorney- 01/23/2015

in-Fact ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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