SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [•] Lilien R Jarrett					Wis	2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]									ationship of F all applicab Director	,		(s) to Issuer 10% Ov	
(Last)	(First)	(M	iddle)		3. Date of Earliest Transaction (Month/Day/Year) 06/24/2015									Officer (give title below)			Other (specify below)		
535 FIFTH AVENUE 30TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) NEW YORK NY 10017															Form file	d by More	than C	One Reportin	ig Person
(City)	(State)	(Zi	p)																
		Та	ble I - No	n-Der	rivativ	e S	ecuritie	s Acq	uired,	Disp	osed of	, or E	Benefi	cially Ov	ned				
Date					ate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					rities Acquired (A) or d Of (D) (Instr. 3, 4 and 5			5. Amount of Securities Beneficially Own Following Repo Transaction(s)		Form	vnership : Direct (D) direct (I) . 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(Instr. 4)
Common Stock 06/2					5/24/2015				A		3,485		A	\$0.00	37 , 293 ⁽¹⁾			Ι	By Bendigo Ventures
Common Stock															125,000			D	
			Table II -						,		sed of, o nvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisa Expiration Date (Month/Day/Yea		te	e Securities		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction	e s illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code		(A)	(D)	Date Exercis	able	Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	0.1(0)		

Explanation of Responses:

1. Includes restricted stock awards which vest as to (i) 6,672 shares on June 27, 2015 and (ii) 3,485 shares on June 24, 2016.

Remarks:

/s/ Marci Frankenthaler, Attorney-06/25/2015

** Signature of Reporting Person Date

in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.