SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres		son*	2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]		ionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner			
(Last) C/O WISDOMT	(First) (Middle) WISDOMTREE INVESTMENTS, INC.		3. Date of Earliest Transaction (Month/Day/Year) 08/04/2015	x	Officer (give title below) Chief Investmen	Other (specify below)		
245 PARK AVENUE, 35TH FLOOR			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(Street) NEW YORK	NY	10167			Form filed by More that	n One Reporting Person		
(City)	(State)	(Zip)	-Derivative Securities Acquired Disposed of or Bene					

1. Title of Security (Instr. 3) 2. Transaction 2A Deemed 4. Securities Acquired (A) or 5 Amount of 6 Ownershin 7 Nature of Transaction Disposed Of (D) (Instr. 3, 4 and 5) Form: Direct (D) Execution Date Securities Indirect Date (Month/Day/Year) Beneficial if any Code (Instr. Beneficially Owned or Indirect (I) (Month/Day/Year) Following Reported (Instr. 4) 8) Ownership Transaction(s) (Instr. 4) (A) or (Instr. 3 and 4) Price Code v Amount (D) 08/04/2015 М Common Stock 40,000 \$0.7 396,320(1) D Α 08/04/2015 s 40,000 \$25.85(2) 356,320(1) D Common Stock D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (right to buy)	\$0.7	08/04/2015		М			40,000	(3)	01/25/2019	Common Stock	40,000	\$0.00	35,000	D	

Explanation of Responses:

1. Includes restricted stock awards which vest as to (i) 24,611 shares on January 14, 2016, (ii) 21,073 shares on January 22, 2016, (iii) 14,219 shares on each of January 28, 2016 and 2017, (iv) 24,613 shares on January 14, 2017 and (v) 14,224 shares on January 28, 2018.

2. The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$25.7342 to \$26.11, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) of this Form 4.

3. Exercisable as to 50,000 shares on each of January 26, 2010, 2011, 2012 and 2013.

Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 08/06/2015

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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