SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Muni Amit			2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC.			3. Date of Earliest Transaction (Month/Day/Year) 08/04/2015	Director 10% Owner X Officer (give title below) Other (specify below) Chief Financial Officer					
C/O WISDOM TREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR (Street) NEW YORK NY 10167 (City) (State) (Zip)		10167	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Table I - Nor	n-Derivative Securities Acquired, Disposed of, or Bene	ficially Owned					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (Ir 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/04/2015		М		300,000	A	\$1.07	826,976(1)	D	
Common Stock	08/04/2015		М		100,000	A	\$2.25	926,976(1)	D	
Common Stock	08/04/2015		М		75,000	A	\$5.05	1,001,976(1)	D	
Common Stock	08/04/2015		S		475,000	D	\$25.4567(2)	526,976(1)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	str. or Exercise (Month/Day/Year) if any		Execution Date, if any	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (right to buy)	\$1.07	08/04/2015		М			300,000	(3)	01/15/2018	Common Stock	300,000	\$1.07	0	D	
Stock Option (right to buy)	\$2.25	08/04/2015		М			100,000	02/15/2012	01/27/2020	Common Stock	100,000	\$2.25	0	D	
Stock Option (right to buy)	\$5.05	08/04/2015		М			75,000	(4)	01/26/2021	Common Stock	75,000	\$5.05	75,000	D	

Explanation of Responses:

1. Includes restricted stock awards which vest as to (i) 14,336 shares on January 14, 2016, (ii) 9,011 shares on January 22, 2016, (iii) 37,500 shares on January 25, 2016, (iv) 14,067 shares on January 28, 2016, (v) 14,338 shares on January 14, 2017, (vi) 14,068 shares on January 28, 2017 and (vii) 14,072 shares on January 28, 2018.

2. The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$25.01 to \$26.12, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) of this Form 4.

3. Exercisable (at an exercise price of \$2.72 per share) as to 75,000 shares on each of January 16, 2009, 2010, 2011 and 2012. Modified on January 26, 2009 to become exercisable (at an exercise price of \$1.07 per share) as to 75,000 shares on each of January 27, 2010, 2011, 2012 and 2013.

4. Exercisable as to 37,500 shares on each of January 27, 2014, 2015, 2016 and 2017.

Remarks:

/s/ Marci Frankenthaler, Attorney- 08/06/2015 in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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