SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Steinberg Jonathan L						2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]								5. Relationship of Reporting Person(s) to (Check all applicable)			
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 01/14/2016								Director Officer (g below)		10% Owner Other (specify below) utive Officer			
C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) NEW YORK NY 10167													d by One R d by More t	•	0	ng Person	
(City)	(State)	(Z	ip)														
		Ta	able I - Noi	n-Deri	ivative S	ecurities Acq	uired, I	Disp	osed of,	or	Benefi	cially Ow	ned				
Dat			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and								7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(Instr. 4)
Common Stock 01				01/1	4/2016		F		21,722 ⁽¹⁾		D	\$0.00	6,550,748(2)			D	
Common Stock											798			Ι	By Spouse		
						urities Acqui ls, warrants, c		•				•	ed				
1. Title of	2.	3. Transaction	3A. Deemed	4	4.	5. Number of	6. Date I	. Date Exercisable and 7. Title and Amo		mount of	8. Price of	9. Number	of	10.	11. Nature		

 1. little of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		(). It le and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Ownership Form: Direct (D)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	(Instr. 4)	Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

2. Includes restricted stock awards which vest as to (i) 75,421 shares on January 22, 2016, (ii) 37,538 shares on January 28, 2016, (iii) 51,772 shares on January 14, 2017, (iv) 37,539 shares on January 28, 2017 and (v) 37,550 shares on January 28, 2018.

Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 01/15/2016 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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