SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres Ziemba Peter		erson*	2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) C/O WISDOMT	Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC.		3. Date of Earliest Transaction (Month/Day/Year) 01/22/2016	x	Director Officer (give title below) Chief Legal	10% Owner Other (specify below) Officer			
245 PARK AVENUE, 35TH FLOOR (Street) NEW YORK NY 10167 (City) (State) (Zip)		10167	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3) 2. Transaction 2A Deemed 4. Securities Acquired (A) or 5 Amount of 6 Ownershin 7 Nature of Disposed Of (D) (Instr. 3, 4 and 5) Execution Date, Transaction Form: Direct (D) Securities Indirect Date (Month/Day/Year) if any (Month/Day/Year) Beneficially Owned Beneficial Code (Instr. or Indirect (I) (Instr. 4) 8) Following Reported Ownership Transaction(s) (Instr. 4) (A) or (D) (Instr. 3 and 4) Price Code v Amount 01/22/2016 F Common Stock 5,189(1) D \$0.00 807,680(2) D Common Stock 01/25/2016 F 13,864(1) \$0.00 793,816(3) D D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

2. Includes restricted stock awards which vest as to (i) 37,500 shares on January 25, 2016, (ii) 14,067 shares on January 28, 2016, (iii) 10,057 shares on January 14, 2017, (iv) 14,068 shares on January 28, 2017 and (v) 14,072 shares on January 28, 2018.

3. Includes restricted stock awards which vest as to (i) 14,067 shares on January 28, 2016, (ii) 10,057 shares on January 14, 2017, (iii) 14,068 shares on January 28, 2017 and (iv) 14,072 shares on January 28, 2018. Remarks:

> /s/ Marci Frankenthaler, Attorney- 01/26/2016 in-Fact ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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