SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
(City)	(State)	(Zip)								
(Street) NEW YORK	NY	10167			Form filed by More tha	n One Reporting Person				
245 PARK AVENUE, 35TH FLOOR			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC.		. ,			Chief Investment Strategist					
		(Middlo)	3. Date of Earliest Transaction (Month/Day/Year) 01/27/2016	x	Director Officer (give title below)	10% Owner Other (specify below)				
1. Name and Addre Siracusano L		rson [*]	2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/27/2016	Α		156,713(1)	Α	\$0.00	495,732 ⁽²⁾	D	
Common Stock	01/28/2016	F		5,257 ⁽³⁾	D	\$0.00	490,475 ⁽⁴⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Restricted stock awarded by Issuer on January 27, 2016 and vesting as to (i) 52,238 shares on each of January 27, 2017 and 2018 and (ii) 52,237 shares on January 27, 2019.

2. Includes restricted stock awards which vest as to (i) 14,219 shares on each of January 28, 2016 and 2017, (ii) 24,613 shares on January 14, 2017, (iii) 14,224 shares on January 28, 2018, (iv) 52,238 shares on each of January 27, 2017 and 2018 and (v) 52,237 shares on January 27, 2019.

3. Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

4. Includes restricted stock awards which vest as to (i) 14,219 shares on January 28, 2017, (ii) 24,613 shares on January 14, 2017, (iii) 14,224 shares on January 28, 2018, (iv) 52,238 shares on each of January 27, 2017 and 2018 and (v) 52,237 shares on January 27, 2019.

Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 01/29/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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