SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

e Securities Exchange Act of 1934

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1	193
or Section 30(h) of the Investment Company Act of 1940	

1. Name and Address of Reporting Person [*] Steinberg Jonathan L					2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Ow					
(Last) C/O WISDOM	(First) ITREE INV	(M ESTMENTS, II	liddle) NC.			3. Date of Earliest Transaction (Month/Day/Year) 08/19/2016								Officer (give title below) Chief Execu		Other (specil below)		
245 PARK AVENUE, 35TH FLOOR (Street) NEW YORK NY 10167			4. If Ar	4. If Amendment, Date of Original Filed (Month/Day/Year)								 ividual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person 						
(City)	(State)	(Zi	ip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Transat Date (Month/Da				2A. Deemed Execution Date, if any (Month/Day/Yea	Code	Transaction Code (Instr.		4. Securities Acquired (A) or Dis Of (D) (Instr. 3, 4 and 5)			sposed	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
						Code	e '	v	Amount	(A) or (D)	Price	•	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock 08/19/2				/2016		Р			250,000	A	\$10	.1628(1)	7,384	,001(2)]	D		
Common Stock													798			I	By Spouse	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transacti Code (Ins 8)		E: (N	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Securities Derivative (Instr. 3 an	Under Securi	ying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficial	O F	0. Wynership orm: Virect (D)	11. Nature of Indirect Beneficial Ownership

	Security (instr. 3)	Price of Derivative Security	(Month/Day/Tear)	(Month/Day/Year)			Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				(Instr. 3 and 4)		. ,	Owned Following Reported	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Evilanation of Responses:																	

Explanation of Responses:

1. The price included in Column 4 is an average weighted price. These shares were purchased in multiple transactions at prices ranging from \$10.07 to \$10.19, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (1) of this Form 4.

2. Includes restricted stock awards which vest as to (i) 51,772 shares on January 14, 2017, (ii) 37,539 shares on January 28, 2017, (iii) 37,550 shares on January 28, 2018, (iv) 147,291 shares on each of January 27, 2017 and 2018 and (v) 147,290 shares on January 27, 2019.

Remarks:

/s/ Peter M. Ziemba, Attorney-in-Fact 08/22/2016

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.