SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres <u>Muni Amit</u>	s of Reporting Person *		2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]		ionship of Reporting Pers all applicable) Director			
(Last) C/O WISDOMT	(First) (Middle) ISDOMTREE INVESTMENTS, INC.		3. Date of Earliest Transaction (Month/Day/Year) 01/27/2017	x	Officer (give title below) Chief Financi	Other (specify below)		
245 PARK AVE	NUE, 35TH FLOOR		4. If Amendment, Date of Original Filed (Month/Day/Year) 01/30/2017	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)			01/30/2017	X		orting Person n One Reporting Person		
NEW YORK	NY	10167						
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3) 2 Transaction 2A Deemed 4. Securities Acquired (A) or 5 Amount of 6 Ownershin 7 Nature of Disposed Of (D) (Instr. 3, 4 and 5) Transaction Form: Direct (D) Execution Date, Securities Indirect Date if any (Month/Day/Year) Beneficial (Month/Day/Year) Code (Instr. Beneficially Owned or Indirect (I) 8) Following Reported (Instr. 4) Ownership Transaction(s) (Instr. 4) (A) or (Instr. 3 and 4) Price Code v Amount (D) F 11,769(1) Common Stock 01/27/2017 D \$0.00 588,424(2) D 01/28/2017 5,201(1) \$0.00 583,223(3) D Common Stock F D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

2. Includes restricted stock awards which vest as to (i) 14,068 shares on January 28, 2017, (ii) 14,072 shares on January 28, 2018, (iii) 31,861 shares of each of January 27, 2018 and 2019, (iv) 3,847 shares on each of January 25, 2018 and 2019 and (v) 3,848 shares on January 25, 2020.

3. Includes restricted stock awards which vest as to (i) 14,072 shares on January 28, 2018, (ii) 31,861 shares of each of January 27, 2018 and 2019, (iii) 3,847 shares on each of January 25, 2018 and 2019 and (iv) 3,848 shares on January 25, 2020.

Remarks:

This amendment is being filed to correct (i) the number of shares of common stock surrendered to Issuer reflected in Column 4 for the transaction which occurred on 1/28/2017, and (ii) the number of shares of common stock beneficially owned following such reported transaction in Column 5.

> /s/ Marci Frankenthaler, Attorney- 01/31/2017 in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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