SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Begleiter Steven L					2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]								tionship of F all applicab	nship of Reporting Person(s) to Issuer I applicable)			
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 06/20/2017							_ X	Director Officer (g below)	ive title		10% O Other (below)	-	
650 MADISON AVENUE 19TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) NEW YORK	NY	10	0022										Form file	d by More	than Or	ne Reportir	ig Person
(City)	(State)	(Z	ip)														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date				saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and								7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(Instr. 4)	
Common Stock 06/2				20/2017	/2017			10,214		Α	\$0.00	140,6	140,671(1)		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of	2.	3. Transaction	3A. Deemed	4	1.	5. Number of	6. Date Exercisable and 7. Title and Amou		mount of	8. Price of	9. Number	r of 1	10.	11. Nature			

Derivative	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			

Explanation of Responses:

1. Includes restricted stock award which vests as to 10,214 shares on June 20, 2018.

Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 06/21/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.