SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Lilien R Jarret	of Reporting Person [*]			Name and Ticker mTree Inves	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) C/O WISDOMTREE INVESTMENTS		(Middle) S, INC.	3. Date of 12/11/2	of Earliest Transacti 017	ion (Month/Day	Year)	x	Officer (give title below)		(specify		
245 PARK AVENUE, 35TH FLOOR				endment, Date of O	riginal Filed (Mo	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) NEW YORK	NY	10167						Form filed by More		ng Person		
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
			2. Transaction	saction 2A. Deemed 3. 4. Securities Acquired (A) o				5. Amount of	6. Ownership	7. Nature of		

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transac Code (Ir 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/11/2017		A		250,000(1)	Α	\$0.00	431,994 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Restricted stock awarded by Issuer on December 11, 2017 and vesting as to (i) 83,333 shares on each of December 11, 2018 and 2019 and (ii) 83,334 shares on December 11, 2020.

2. Includes restricted stock awards which vest as to (i) 10,214 shares on June 20, 2018, (ii) 83,333 shares on each of December 11, 2018 and 2019 and (iii) 83,334 shares on December 11, 2020.

Remarks:

/s/ Marci Frankenthaler, Attorney-12/12/2017

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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