SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
OMB Number: 3235-0104
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person [*] Bell Stuart	2. Date of Event Requiring Statement (Month/Day/Ye 01/01/2018	×	3. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]						
(Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR (Street) WYORK NY 10167 (City) (State) (Zip)	-		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer		fy	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			Amount of Securities neficially Owned (Instr. 4)	· · ·		4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock			35,833(1)	D	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable Expiration Date (Month/Day/Year)	e and	3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversion or Exercise Price of Derivative Security		5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Expir Exercisable Date		Title	Amount or Number of Shares			Indirect (I) (Instr. 5)		
Stock Option (right to buy)	01/26/2010 ⁽²⁾ 01/25	/2019	Common Stock	20,000	0.	7	D		
Stock Option (right to buy)	02/15/2012 01/27	/2020	Common Stock	15,000	2.2	25	D		
Stock Option (right to buy)	07/01/2013 01/26	/2021	Common Stock	50,000	5.0)5	D		

Explanation of Responses:

1. Includes restricted stock awards vesting as to (i) 4,770 shares on September 12, 2018, (ii) 2,945 shares on January 27, 2019, (iii) 1,231 shares on each of January 25, 2019 and 2020 and (iv) 4,771 shares on September 12, 2019. 2. Exercisable as to 5,000 shares on each of January 26, 2010, 2011, 2012 and 2013.

Remarks:

/s/ Marci Frankenthaler, Attorney- 01/08/2018

in-Fact ** Signature of Reporting Person Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Peter Ziemba, Marci Frankenthaler and Amit Muni, signing singly, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of WisdomTree Investments, Inc. (the "Company"), Forms 3, 4 and 5 and amendments thereto in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended ("Exchange Act") and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and amendments thereto and timely file such form with the United States Securities and Exchange Commission ("SEC") and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. This Power of Attorney may be filed with the SEC as a confirming statement of the authority granted herein.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of December 20, 2017.

/s/ Stuart Bell Signature

Stuart Bell Print Name