SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

1. Name and Address of Reporting Person [*] BARTON GREGORY E				Name and Ticker c <u>mTree Invest</u>	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC.		3. Date o 01/25/20	f Earliest Transactio	on (Month/Day/	Year)	x	Officer (give title below)		er (specify			
245 PARK AVENUE, 35TH FLOOR				ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)							X	Form filed by One Form filed by More		ng Person		
NEW YORK	NY	10167								-		
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Tran			2. Transaction	2A. Deemed	3. Transaction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3.4.3		5. Amount of	6. Ownership	7. Nature of		

if any (Month/Day/Year) (Month/Day/Year) or Indirect (I) (Instr. 4) Code (Instr. Beneficially Owned Beneficial Following Reported 8) Ownership Transaction(s) (Instr. 4) (A) or (D) (Instr. 3 and 4) Code v Price Amount 01/25/2018 18,018(1) 239,960(2) Common Stock A Α \$0.00 D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		ion Derivative Expir		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Restricted stock awarded by Issuer on January 25, 2018 and vesting as to 6,006 shares on each of January 25, 2019, 2020 and 2021.

2. Includes restricted stock awards which vest as to (i) 9,668 shares on January 25, 2019, (ii) 35,630 shares on January 27, 2019, (iii) 9,669 shares on January 25, 2020 and (iv) 6,006 shares on January 25, 2021.

Remarks:

/s/ Marci Frankenthaler, Attorney-01/29/2018

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.