SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Lilien R Jarrett			2. Issuer Name <b>and</b> Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) C/O WISDOMTREE INVESTMENTS, INC.		· · · ·	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2018	x	Officer (give title below) Executive Vic	Other (specify below)			
245 PARK AVE (Street) NEW YORK (City)	ENUE, 35TH FI NY (State)	LOOR 10167	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi X	Form filed by One Rep	g (Check Applicable Line) porting Person an One Reporting Person			
			n-Derivative Securities Acquired, Disposed of, or Bene	ficially Ow	ned				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported		Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/25/2018		A		2,866(1)	Α	\$0.00	434,860(2)	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1 Title of 2 3 Transaction 34 Deamed 4 5 Number of 6 Date Everyisable and 7 Title and Amount of 8 Price of 9 Number of 10 11 Natu															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

### Explanation of Responses:

1. Restricted stock awarded by Issuer on January 25, 2018 and vesting as to (i) 955 shares on each of January 25, 2019 and 2020 and (ii) 956 shares on January 25, 2021.

2. Includes restricted stock awards which vest as to (i) 10,214 shares on June 20, 2018, (ii) 83,333 shares on each of December 11, 2018 and 2019, (iii) 955 shares on each of January 25, 2019 and 2020, (iv) 83,334 shares on December 11, 2020 and (v) 956 shares on January 25, 2021.

#### Remarks:

/s/ Marci Frankenthaler, Attorney- 01/29/2018

<u>in-Fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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