SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

in that is a large of the pointing to be of the			2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]		ionship of Reporting Person(all applicable) Director	s) to Issuer				
(Last) C/O WISDOMT	Ipine Kurt (First) (Middle) ISDOMTREE INVESTMENTS, INC. RK AVENUE, 35TH FLOOR (ORK NY 10167 (State) (Zip)	3. Date of Earliest Transaction (Month/Day/Year) 02/05/2018	x	Officer (give title below) Global Head of Dis	Other (specify below)					
245 PARK AVENUE, 35TH FLOOR			 If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applica X Form filed by One Reporting Person 							
(Street) NEW YORK	NY	10167		X	Form filed by More than O	•				
(City)	(State)	(Zip)								
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Of Code (Instr.		Of (D) (Instr. 3, 4 and 5)			Following Reported		Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/05/2018		Р		19,710	Α	\$10.3829(1)	184,056 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		on Derivative		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$10.365 to \$10.3945, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) of this Form 4.

2. Includes restricted stock awards which vest as to (i) 14,913 shares on July 1, 2018, (ii) 30,952 shares on January 25, 2019, (iii) 20,613 shares on January 27, 2019, (iv) 30,953 shares on January 25, 2020 and (iv) 14,333 shares on January 25, 2021.

Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 02/07/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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