SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	ss of Reporting Person [*]		2. Issuer Name and Ticker or Trading Symbol <u>WisdomTree Investments, Inc.</u> [WETF]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2018		Officer (give title below)	Other (specify below)		
712 FIFTH AVENUE 34TH FLOOR			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line X Form filed by One Reporting Person Form filed by More than One Reporting Persor				
(Street)					Form med by more than c	one Reporting Person		
NEW YORK	NY	10019						
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

Table 1 - Non-Derivative Securities Acquired, Disposed of, of Beneficially Owned

1. Title of Security (Instr. 3)			2. Trans Date (Month/	action Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported		t (D) In l) B O	7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			nstr. 4)
Common Stock	:		03/01	1/2018		s		78,517	D	\$ 9.7328 ⁽¹⁾	758,390	I	a S	y The Judy nd Michael teinhardt oundation ⁽²⁾
Common Stock				2/2018		s		41,245	D	\$ 9.7146 ⁽³⁾	717,145	I	a S	y The Judy nd Michael teinhardt oundation ⁽²⁾
Common Stock											10,845,915(4	D		
			Table II - Deri (e.g.		Securities Ac calls, warran						ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (In 8)		A) d of	piratio	xercisable and n Date ay/Year)	Securitie	nd Amount of es Underlying /e Security and 4)	Derivative der Security Security (Instr. 5) Be Ow Fol	curities Fo neficially Di med or	vnership rm: rect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$9.68 to \$9.825, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) of this Form 4.

(D)

Date

Exercisable

Expiration

Date

Title

2. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or otherwise. 3. The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$9.69 to \$9.78, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (3) of this Form 4.

4. Includes restricted stock awards which vest as to 10,214 shares on June 20, 2018.

Remarks:

/s/ Marci Frankenthaler, Attorneyin-Fact 03/05/2018

Transaction(s)

(Instr. 4)

** Signature of Reporting Person Date

Amount

Number

of Shares

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Code