SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>NEUGER WIN J</u>						2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]								5. Relationship of Reporting Person(s) to Issuer Check all applicable) X Director 10% Owner					
(Last)	st) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 06/19/2018							Officer (g below)	jive title		Other (s below)			
C/O WISDOMTREE INVESTMENTS, INC.						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
245 PARK AVENUE, 35TH FLOOR													X Form filed by One Reporting Person						
(Street)													Form file	d by More	than On	e Reportin	g Person		
NEW YORK NY 10167																			
(City)	(State)	(Zi	p)																
		Ta	ble I - No	n-Dei	rivative Se	ecurities Acq	uired,	Disp	osed of	, or	Benefi	cially Ov	vned						
Date				nsaction h/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
							Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(Instr. 4)		
Common Stock 06/1					19/2018		A		8,930	5	A	\$0.00	44,938(1)			D			
						urities Acquii s, warrants, c	,		,				ed						
1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution Date,		ate,	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Exerci on Da Day/Y		Sec Der	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	vivative derivative derivative surity		10. Dwnership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				

Date

Exercisable

(D)

Expiration

Title

Date

Explanation of Responses:

1. Includes restricted stock award which vests as to 8,936 shares on June 19, 2019.

Remarks:

## /s/ Marci Frankenthaler, Attorney-06/20/2018

(Instr. 4)

in-Fact

\*\* Signature of Reporting Person Date

Amount

of Shares

or Number

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

(A)

Code